

**UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF ILLINOIS
EASTERN DIVISION**

FIDELITY BROKERAGE SERVICES LLC,

Plaintiff,

v.

TIMOTHY HOFFMAN, and
J.P. MORGAN SECURITIES LLC,

Defendants.

Case No. 1:17-cv-2831

**RULE 7.1 AND LR3.2 CORPORATE
DISCLOSURE STATEMENT**

Pursuant to Federal Rule of Civil Procedure 7.1 and Local Rule 3.2, Plaintiff Fidelity Brokerage Services LLC states that it is a wholly owned subsidiary of Fidelity Global Brokerage Group, Inc. Fidelity Global Brokerage Group, Inc. is not a public company, and no publicly traded company owns 10% or more of Fidelity Global Brokerage Group, Inc. stock. Plaintiff Fidelity Brokerage Services LLC's sole member is Fidelity Global Brokerage Group, Inc., a corporation organized and existing under the laws of Massachusetts with its principal place of business located in Boston, Massachusetts.

Dated: April 14, 2017

Respectfully submitted,

FIDELITY BROKERAGE SERVICES LLC,

By its attorneys,

/s/Kenneth J. Vanko

Kenneth J. Vanko

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Pro Hac Vice Pending

CERTIFICATE OF SERVICE

I, Kenneth J. Vanko, an attorney, hereby certify that on April 14, 2017, I caused a copy of the foregoing Corporate Disclosure Statement to be filed with the Clerk of the Court using the CM/ECF system, which will send an electronic copy of the foregoing to counsel of record and constitutes service under Federal Rule of Civil Procedure 5(b)(2)(D) pursuant to Local Rule 5.9 of the Northern District of Illinois.

/s/Kenneth J. Vanko